

UNIVERSITÀ DEGLI STUDI DI SALERNO

Department of Industrial Engineering Master's Degree in Food Engineering

Analysis of the swelling behavior of low methoxy calcium pectin hydrogels

Thesis in Transport Phenomena in Food Processes

Supervisors:

Prof. Eng. Gaetano Lamberti

Prof. Anna Ström

0622800664

Candidate:

Luigi Galluccio

Co-Supervisors:

Dr. Eng. Diego Caccavo PhD. Candidate Eng. Raffaella De Piano PhD. Student Jakob Karlsson

Academic Year 2022/2023



Part of this work was developed during the Erasmus project at Chalmers University of Technology, Göteborg, Sweden. It was performed at the Department of Chemistry and Chemical Engineering, in the Division of Applied Chemistry, under the supervision of Prof. Anna Ström.

This text has been printed in Times New Roman

The expected date for the thesis defense is December 18th 2023 Fisciano,

Tables of Content

Tables of Content	I
Tables of Figures	V
Tables of Tables	VII
Abstract	VIII
Introduction	1
1.1 Hydrogels generalities	2
1.1.1 Definitions and structural parameters	2
1.1.2 Classifications	4
1.1.2.1 Crosslinking Mechanism	4
1.1.2.2 Origin of the hydrogel forming polymer	4
1.1.2.3 Ionic Charge	4
1.1.2.4 Stimuli Responsive	5
1.1.2.5 Physical Form	5
1.2 Polysaccharide Based Hydrogels	5
1.2.1 Polysaccharides forming hydrogels.	5
1.2.2 Physical Polysaccharide Hydrogels	6
1.2.2.1 Ionotropic gelation	7
1.2.3 Chemical Polysaccharide Hydrogels	8
1.2.4 Polysaccharide Hydrogels Applications	9

1.3 Swelling Behavior: hydrogels' interactions solvents	with 10
1.3.1 Swelling Mechanism	_ 10
1.3.2 Swelling Theory	_ 11
1.3.3 Swelling Properties of Ionic Polysaccharide Gels	_ 12
1.3.4 Definitions of pH and ionic strength of an aqueous	
solution	_ 13
1.3.4.1 pH of an aqueous solution	13
1.3.4.2 Ionic strength of an aqueous solution	14
1.3.5 Role of pH on the swelling behavior of charged gels in aqueous solution	_ 14
1.3.6 Role of Ionic Strength on the swelling behavior of charged gels in aqueous solution.	_ 16
1.3.7 Experimental Characterization of the Swelling Behavior	_ 17
1.4 Pectins and Pectin Based Hydrogels	18
1.4.1 Pectin Origin and Sources	_ 18
1.4.2 Structures of pectin polysaccharides	_ 19
1.4.3 Pectin Gelation Mechanisms	21
1.4.3.1 LM Pectin Gelation	21
1.4.3.2 HM Pectin Gelation	22
1.4.4 Pectin Based Hydrogels Food Applications	_ 23
1.5 State of the Art	24
1.5.1 Swelling Behavior of Pectin Hydrogels in Aqueous Solutions	_ 24
1.5.2 Theoretical Approach	_ 27
	20
1.6 Aim of the project	

Tables of Contents	Pag. III
2.1 Materials	30
2.2 Method for the preparation of pectin hydrogels	30
2.2.1 Preparation of the pectin solution 3% w/v	31
2.2.2 Preparation of NaCl+KCl salt solution to set ionic strength in the gel mixture.	31
2.2.2 Preparation of the hydrogel	32
2.3 Compressive Behavior of Gels	34
2.3.1 Unconfined Compression Test	35
2.4 Gravimetric Analysis for Swelling Measuremen	1ts36
2.4.1 Set Up Overview	36
2.4.2 Swelling Media	36
2.4.3 Equilibrium and Dynamic Swelling Degree Assessment	37
2.4.3 Swelling Kinetics Models	38
2.4.4 Water diffusion mechanism	39
2.5 Calcium determination during swelling exper-	iments 40
2.5.1 Principles of Complexometric Titration for Calcium Determination in Aqueous Solution	40
2.5.2 Standardization of EDTA aqueous solution	41
2.5.3 Determination of calcium ions in swelling media	42
Results and discussion	45
3.1 Swelling Behavior in Aqueous solution v chemical stimuli	arying 46
3.1.1 Dynamic Swelling Behavior and Kinetics: effect of external pH	46
3.1.2 pH variations in the external media during swelling tests	48

Pag. IV	Analysis of Swelling of Ca-Pectin Hydrogels Luigi	Galluccio
3.1.3 Diffu	usion mechanism	_ 49
3.1.4 Equi	librium swelling response.	_ 50
3.1.4.1	Role of pH on the equilibrium swelling degree	51
3.1.4.2	Role of Ionic Strength on the equilibrium swelling degree	52
3.2 Calciur	n Analysis during swelling tests	53
3.2.1 Com equilit	parison of the % calcium release at the prium for two pH conditions	_ 53
3.3 Mecha hydrogels	nnical Properties of the prepared Ca	a-PEC 55
3.3.1 Stres Degre	ss-strain Curves for Different Crosslinking es (R)	_ 55
3.3.2. Influ	uence of R on mechanical properties	_ 56
Conclusion	IS	59
Bibliograp	hy	63

Tables of Figures

Figure 1 Structure of hydrogel at molecular level[2]2
Figure 2 Schematics for anionic(a), cationic(b) and amphipathic(c) hydrogels[9]
Figure 3 (A) Scheme showing the preparation of salecan/chitosan PEC hydrogel, (B) loading mechanism of vitamin C in the assembled hydrogel9
Figure 4 Schematic microscopic structure of charged hydrogels in water bath[19]11
Figure 5 Schematic representation of two modes of deformation in a gel[21] 12
Figure 6 Anionic hydrogel and external solution view for a) acid condition; b) basic condition of the external swelling medium
Figure 7 Swelling of charged hydrogels as function of external ionic strength. 16
Figure 8 A diagrammatical representation of the plant cell wall structure[34]18
Figure 9 Chemical Structure of Pectin[36]19
Figure 10 Schematic structure of pectin showing the homogalacturonan (HG), xylogalacturonan (XG), apio galacturonan (AG), rhamnogalacturonan II (RG-II), and rhamnogalacturonan I (RG-I) region[38]20
Figure 11 Schematic representation of calcium binding to polygalacturonate sequences: 'egg box' dimer and 'egg-box' cavity[40]21
Figure 12 Schematic presentation of gelation mechanism of low methoxy pectin[42]22
Figure 13 Gelling mechanism of high-methoxy pectin. Red circle represents hydrogen bond formation between the pectin chains[45]23
Figure 14 Mechanistic pathway for synthesis of pectin-g-poly(AA-co-AM) hydrogel[53]25
Figure 15 Effect of pH solution on swelling capacity of pectin-g-poly(AA-co-AM)[53]26
Figure 16 Suggested scheme for calcium–LM pectin gels, based on the nanostructure obtained from the SAXS investigation. (A) Calcium–pectin gel with low calcium concentration.[55]
Figure 17 Pectin stock solution
Figure 18 Ca-PEC hydrogels in 10 mm x 10 mm cylindrical holes moulds 33

Pag. VI	Analysis of Swelling of Ca-Pectin Hydrogels	Luigi Galluccio
Figure 19 Con this class of m	npression testing of hydrogels and typical displacementaterials[14]	nt curve for 34
Figure 20 Swe	elling experiment set up	
Figure 21 Sch	ematics of gravimetric swelling experiment	
Figure 22 Titr	ation apparatus	
Figure 23 Solu	ution colour transition from pink to purple at the titrat	ion endpoint. 42
Figure 24 Figu during 48 hou	ure 24 Dynamic swelling of 2% w/v Ca-PEC hydroge rs of test : role of pH.	ls (R 1.25) 46
Figure 25 Pari data fitting go	ity line (a) and residuals plot (b) for the evaluation of odness to Eq. 2. : pH 3 Experiment	swelling 47
Figure 26 Pari data fitting go	ity line (a) and residuals plot (b) for the evaluation of odness to Eq. 2. : pH 5 Experiment	swelling 47
Figure 27 Pari data fitting go	ity line (a) and residuals plot (b) for the evaluation of odness to Eq. 2. : pH 7 Experiment	swelling 48
Figure 28 pH conditions of :	values records during swelling experiments at initial p : pH 3(•); pH 5 (•); pH 7 (•)	»Н 48
Figure 29 Wat	ter diffusion plot. Solid curves are the linear fits	
Figure 30 Effe degree	ect of swelling media pH on pectin gel equilibrium sw	elling 51
Figure 31 2% strength of 0.1	Ca-PEC hydrogels after 24 hours at starting pH 2 and 15 M (NaCl+KCl)	1 ionic 51
Figure 32 Stre hydrogels	ess-strain curves for different values of R for 2% Ca-P	EC
Figure 33 Unc linear regressi	confined compression test at low strain. Experimental ion fit are reported for each tested R value.	results and 56
Figure 34 You	ung Modulus of 2% Ca-PEC hydrogels at different R	values57

Tables of Tables

Table 1 Key polysaccharides forming hydrogels.	7
Table 2 Swelling media ingredients volumes for pH 3 and pH 5 experiment.HCl was used to adjust media pH.3	6
Table 3 Swelling media ingredients volumes for pH 7 experiments. NaOH was used to adjust media pH	7
Table 4 Fit parameters obtained from the swelling data in Fig.244	7
Table 5 Fit parameters related to the water diffusion plot	0
Table 6 Young Modulus values from linear regression	7
Table 7 Stress and strain at break values for different R conditions5	8

Abstract

Nowadays, food and pharmaceutical sector require the employment of materials that possess essential qualities, including biocompatibility, stability, non-toxicity, and, where applicable, controlled release capabilities. Hydrogels are peculiar macromolecules, characterized by a polymeric matrix, an interstitial fluid and, eventually, some ionic species. There is an obvious interest in natural polymer-based hydrogels. Polysaccharide are gaining attention as hydrogel forming biopolymers, as they are synonyms of biocompatibility, and water absorbtion capacity.

Pectin is a natural water-soluble polysaccharide that can be found in plant cells. It consists of linear chains of 1-4-D-galacturonic acid residues that contain carboxyl groups. Low methoxy pectin (degree of esterification <50%) is capable of forming insoluble hydrogels, such as calcium pectinate, when the acid chains are crosslinked with a divalent cation, calcium, which can be used, for instance, for nutraceutical molecules delivery purpose. Calcium pectinate(CaP) hydrogels are known to be nontoxic and can be degraded by colonic bacteria, being able to remain into the upper gastrointestinal tract. It has been investigated as a carrier for controlled drug release and the protection of drugs against gastric environment. Specific properties of these gels based on new models and their applications in functional food structure design deserve a further study.

Calcium Pectin Hydrogels(Ca-PEC) can be characterized as physically cross-linked network, whose swelling behavior is not fully understood, despite its significance in many applications. In simulating the swelling response of such systems, the experiments are of high importance because of two reasons: finding the necessary parameters for simulation; verification of the theory and numerical implementation. One of the two external stimuli that can alter the swelling response of Ca-PEC hydrogels, are the pH and ionic strength of the medium these gels interact with. pH is a very important factor in studying pectin gels because these are always used for food and pharmaceutical products with different pH values. pH can alter the dissociation of carboxylic groups in pectin thus its binding with calcium ions. On the other hand, many studies have also investigated the effects of pectin on the in vitro absorption of minerals, such as calcium, zinc, magnesium and iron. Thus, it is essential to discuss the influence of the ionic strength on the general behavior in solution as ions could potentially replace the bound

Given that swelling properties of hydrogels are important factors for any application, aim of this thesis project is to study the swelling behavior of calcium pectin gel, obtained through ionotropic gelation, in aqueous solutions. Dynamic swelling experiment were carried out with a gravimetric method. Swelling kinetics were well fitted by a saturation growth model. Equilibrium swelling response was analysed as function of the external medium pH and ionic strength. As an additional tool to have more insights about the pH role on the gel diffusive properties in solution, EDTA complexometric titration was performed to monitor calcium ions release in solution Unconfined compression tests were performed to characterize the main mechanical properties of the prepared hydrogels. In the end, the prepared pectin hydrogels did not show pH responsive properties, in classical sense and this is more typical of chemically crosslinked systems with superabsorbent properties. Ionic strength contributed to swelling through a general polyelectrolyte behavior. The findings can be further exploited for modelling purpose and formulating delivery systems with desired properties.

calcium, leading to structure weakening and loss of stability.

Chapter One

Introduction

In this chapter, a general description of polysaccharide-based hydrogels is presented. Pectin Hydrogels properties are highlighted. At the end of the chapter, after a review of the state of the art, the aims of this work are illustrated.

Bibliography

- 1. Chai, Q., Y. Jiao, and X. Yu, *Hydrogels for biomedical applications: their characteristics and the mechanisms behind them.* Gels, 2017. **3**(1): p. 6.
- 2. Aswathy, S., U. Narendrakumar, and I. Manjubala, *Commercial hydrogels for biomedical applications*. Heliyon, 2020. **6**(4).
- 3. Kirchhof, S., et al., *Diels–Alder hydrogels for controlled antibody release: correlation between mesh size and release rate.* Molecular Pharmaceutics, 2015. **12**(9): p. 3358-3368.
- 4. Peppas, N.A., et al., *Hydrogels in biology and medicine: from molecular principles to bionanotechnology*. Advanced materials, 2006. **18**(11): p. 1345-1360.
- 5. Ricciardi, R., et al., X-ray diffraction analysis of poly (vinyl alcohol) hydrogels, obtained by freezing and thawing techniques. Macromolecules, 2004. **37**(5): p. 1921-1927.
- Fernandes, C.S., A.S. Pina, and A.C.A. Roque, *Affinity-triggered hydrogels:* Developments and prospects in biomaterials science. Biomaterials, 2021. 268: p. 120563.
- 7. Varaprasad, K., et al., *A mini review on hydrogels classification and recent developments in miscellaneous applications*. Materials Science and Engineering: C, 2017. **79**: p. 958-971.
- 8. Ullah, F., et al., *Classification, processing and application of hydrogels: A review.* Materials Science and Engineering: C, 2015. **57**: p. 414-433.
- 9. Dechiraju, H., et al., *Ion-Conducting Hydrogels and Their Applications in Bioelectronics*. Advanced Sustainable Systems, 2022. **6**(2): p. 2100173.
- 10. Thoniyot, P., et al., *Nanoparticle-hydrogel composites: Concept, design, and applications of these promising, multi-functional materials.* Advanced Science, 2015. **2**(1-2): p. 1400010.
- 11. Coviello, T., et al., *Polysaccharide hydrogels for modified release formulations*. Journal of controlled release, 2007. **119**(1): p. 5-24.
- 12. Li, Z. and Z. Lin, *Recent advances in polysaccharide-based hydrogels for synthesis and applications*. Aggregate, 2021. **2**(2): p. e21.
- Rimdusit, S., et al., *Biodegradability and property characterizations of methyl cellulose: effect of nanocompositing and chemical crosslinking*. Carbohydrate polymers, 2008. 72(3): p. 444-455.
- 14. Matricardi, P., F. Alhaique, and T. Coviello, *Polysaccharide hydrogels: Characterization and biomedical applications*. 2016: CRC Press.

i ugi o i intationis of Swetting of Call Cettin Hydrogets Eurgi Ganaden	Pag. 64	Analysis of Swelling of Ca-Pectin Hydrogels	Luigi Galluccio
---	---------	---	-----------------

- 15. Gowder, S. and H. Devaraj, *A review of the nephrotoxicity of the food flavor cinnamaldehyde*. Current Bioactive Compounds, 2010. **6**(2): p. 106-117.
- 16. Cook, M.T., et al., *Production and evaluation of dry alginate-chitosan microcapsules as an enteric delivery vehicle for probiotic bacteria.* Biomacromolecules, 2011. **12**(7): p. 2834-2840.
- Hu, X., et al., Formation of self-assembled polyelectrolyte complex hydrogel derived from salecan and chitosan for sustained release of Vitamin C. Carbohydrate polymers, 2020. 234: p. 115920.
- Yang, Q., et al., Polysaccharide hydrogels: Functionalization, construction and served as scaffold for tissue engineering. Carbohydrate Polymers, 2022. 278: p. 118952.
- 19. Attaran, A., K. Keller, and T. Wallmersperger, *Modeling and simulation of hydrogels for the application as finger grippers*. Journal of Intelligent Material Systems and Structures, 2018. **29**(3): p. 371-387.
- 20. Waddell, L.S., Colloid osmotic pressure and osmolality, in Small animal critical care medicine. 2009, Elsevier. p. 868-871.
- 21. Caccavo, D., et al., *Modeling the mechanics and the transport phenomena in hydrogels*, in *Computer Aided Chemical Engineering*. 2018, Elsevier. p. 357-383.
- 22. Flory, P.J., *Principles of polymer chemistry*. 1953: Cornell university press.
- 23. Quesada-Pérez, M., et al., *Gel swelling theories: the classical formalism and recent approaches.* Soft Matter, 2011. 7(22): p. 10536-10547.
- 24. Bouklas, N. and R. Huang, *Swelling kinetics of polymer gels: comparison of linear and nonlinear theories.* Soft Matter, 2012. **8**(31): p. 8194-8203.
- 25. Jia, D. and M. Muthukumar, *Theory of charged gels: swelling, elasticity, and dynamics*. Gels, 2021. 7(2): p. 49.
- 26. Ganji, F., F.S. Vasheghani, and F.E. Vasheghani, *Theoretical description of hydrogel swelling: a review*. 2010.
- 27. Rubinstein, M. and R. Colby, *Polymer Physics Oxford University Press*. New York, 2003.
- Horkay, F., *Polyelectrolyte gels: a unique class of soft materials*. Gels, 2021.
 7(3): p. 102.
- 29. De Piano, R., et al., *Hydrogel: Ph Role on Polyelectrolyte Behaviour in Aqueous Media.* Chemical Engineering Transactions, 2023. **100**: p. 397-402.
- 30. Narayan, S. and L. Anand, *A coupled electro-chemo-mechanical theory for* polyelectrolyte gels with application to modeling their chemical stimulidriven swelling response. Journal of the Mechanics and Physics of Solids, 2022. **159**: p. 104734.
- 31. Drozdov, A. and J.d. Christiansen, *Modeling the effects of pH and ionic strength on swelling of anionic polyelectrolyte gels.* Modelling and Simulation in Materials Science and Engineering, 2015. **23**(5): p. 055005.
- 32. Bajpai, A.K., et al., *Responsive polymers in controlled drug delivery*. Progress in Polymer Science, 2008. **33**(11): p. 1088-1118.
- 33. Jarvis, M.C., *Structure and properties of pectin gels in plant cell walls*. Plant, Cell & Environment, 1984. 7(3): p. 153-164.
- 34. Mbewana, S., *Functional analysis of a lignin biosynthetic gene in transgenic tobacco*. 2010, Stellenbosch: University of Stellenbosch.
- 35. Bahú, J.O., et al., *Plant polysaccharides in engineered pharmaceutical gels*. Bioengineering, 2022. **9**(8): p. 376.

36.	Medina, L.A. and J. Dzalto, 1.11 Natural Fibers. 2018.
37.	Assenza, S. and R. Mezzenga, <i>Soft condensed matter physics of foods and macronutrients</i> . Nature Reviews Physics, 2019. 1(9): p. 551-566.
38.	Zdunek, A., P.M. Pieczywek, and J. Cybulska, <i>The primary, secondary, and structures of higher levels of pectin polysaccharides</i> . Comprehensive Reviews in Food Science and Food Safety, 2021. 20 (1): p. 1101-1117.
39.	Diener, M., et al., Primary, secondary, tertiary and quaternary structure levels in linear polysaccharides: From random coil, to single helix to
40.	supramolecular assembly. Biomacromolecules, 2019. 20 (4): p. 1731-1739. Sundar Raj, A., et al., A Review on Pectin: Chemistry due to General Properties of Pectin and its Pharmaceutical Uses. 1: 550 doi: 10.4172/scientificreports. 550 Page 2 of 4 Volume 1• Issue 12• 2012 in a chain-like configuration; this corresponds to average molecular weights from about 50,000 to 150,000 daltons. Large differences may exist between samples and between molecules within a sample and estimates may differ
	between methods of measurement 2012
41.	Grant, G.T., et al., <i>Biological interactions between polysaccharides and divalent cations: the egg-box model</i> FEBS letters, 1973, 32 (1): p. 195-198.
42.	Cao, L., et al., <i>Egg-box model-based gelation of alginate and pectin: A review</i> Carbohydrate polymers. 2020. 242 : p. 116389.
43.	Braccini, I. and S. Pérez, <i>Molecular basis of Ca2+-induced gelation in alginates and pectins: the egg-box model revisited</i> . Biomacromolecules, 2001. 2 (4): p. 1089-1096.
44.	Axelos, M. and J. Thibault, <i>The chemistry of low-methoxyl pectin gelation</i> . The chemistry and technology of pectin, 1991. 6 : p. 109-108.
45.	Said, N.S., I.F. Olawuyi, and W.Y. Lee, <i>Pectin hydrogels: Gel-forming behaviors, mechanisms, and food applications.</i> Gels, 2023. 9 (9): p. 732.
46.	Liu, H., X. Xu, and S.D. Guo, <i>Rheological, texture and sensory properties</i> of low-fat mayonnaise with different fat mimetics. LWT-Food Science and Technology 2007 40 (6): p. 946-954
47.	Francis, F.P. and R. Chidambaram, <i>Hybrid hydrogel dispersed low fat and heat resistant chocolate</i> . Journal of Food Engineering, 2019. 256 : p. 9-17.
48.	Tarifa, M.C., et al., <i>Microencapsulation of Lactobacillus casei and Lactobacillus rhamnosus in pectin and pectin-inulin microgel particles: Effect on bacterial survival under storage conditions.</i> International Journal of Biological Macromolecules, 2021. 179 : p. 457-465.
49.	Ishwarya S, P. and P. Nisha, <i>Advances and prospects in the food applications of pectin hydrogels</i> . Critical reviews in food science and nutrition, 2022. 62 (16): p. 4393-4417.
50.	Vancauvenberghe, V., et al., Development of a coaxial extrusion deposition for 3D printing of customizable pectin-based food simulant. Journal of Food
51.	Engineering, 2018. 225: p. 42-52. Sarioglu, E., et al., <i>Theophylline-loaded pectin-based hydrogels</i> . II. Effect of concentration of initial pectin solution, crosslinker type and cation concentration of external solution on drug release profile. Journal of Applied Polymer Science, 2019, 136 (43): p. 48155
52.	Popov, S., et al., Swelling, Protein Adsorption, and Biocompatibility In Vitro of Gel Beads Prepared from Pectin of Hogweed Heracleum sosnówskyi

Manden in Comparison with Gel Beads from Apple Pectin. International Journal of Molecular Sciences, 2022. **23**(6): p. 3388.

- 53. Kazemzadeh, B., H. Hosseinzadeh, and M. Babazadeh, *Synthesis of a novel pectin-based superabsorbent hydrogel with salt and pH-responsiveness properties.* Biomedical and Pharmacology Journal, 2015. **6**(1): p. 41-48.
- 54. Davidovich-Pinhas, M. and H. Bianco-Peled, *A quantitative analysis of alginate swelling*. Carbohydrate Polymers, 2010. **79**(4): p. 1020-1027.
- 55. Ventura, I., J. Jammal, and H. Bianco-Peled, *Insights into the nanostructure of low-methoxyl pectin–calcium gels*. Carbohydrate polymers, 2013. **97**(2): p. 650-658.
- 56. Popov, S., et al., *Effect of Cross-Linking Cations on In Vitro Biocompatibility of Apple Pectin Gel Beads*. International Journal of Molecular Sciences, 2022. **23**(23): p. 14789.
- Fraeye, I., et al., *Influence of pectin structure on texture of pectin–calcium gels*. Innovative food science & emerging technologies, 2010. 11(2): p. 401-409.
- 58. Brazel, C.S. and N.A. Peppas, *Mechanisms of solute and drug transport in relaxing, swellable, hydrophilic glassy polymers*. Polymer, 1999. **40**(12): p. 3383-3398.
- 59. Kim, B., K. La Flamme, and N.A. Peppas, *Dynamic swelling behavior of pH-sensitive anionic hydrogels used for protein delivery*. Journal of Applied Polymer Science, 2003. **89**(6): p. 1606-1613.
- Chelpanova, T. and E. Efimtseva, *Alkaline phosphatase immobilization on spherical pectin gel particles*. Applied biochemistry and microbiology, 2016.
 52: p. 36-42.
- 61. Varnier, K., et al., *Polysaccharide-based hydrogels for the immobilization and controlled release of bovine serum albumin.* International journal of biological macromolecules, 2018. **120**: p. 522-528.